



PATENT & TRADEMARK OFFICE

**Claims on application number 09/923,314**

We claim as our invention the system design that combines the following features;

- The ability to give an unambiguous answer ( Yes or No ) to the question whether a security's transaction on the stock exchange at that moment is compliant with legal rules and company regulations before the transaction is executed.
- The ability to do so based on actual daily updated information about the job content and job activities of the staff involved, the work flows within the organisation and the financial news about the organisation.
- The ability to combine the data from the Personal Insiders Profile form with the data mentioned above and also synchronise those with real-time online news, stock prices and other publicly known financial market news in order to put the request for trading in a proper context which might be used in later proceedings.